

Receipt

ATTORNEY'S DOCKET NO. I0277/7007 (HCL//KA)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Martha K. Newell, et al.  
Serial No. 09/470,494  
Filed: December 22, 1999  
For: USE OF CD40 ENGAGEMENT TO ALTER T-CELL RECEPTOR  
USAGE  
Examiner: not yet assigned  
Art Unit: 1643



**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231, on the 18<sup>th</sup> day of July, 2000.

Konstantinos Andrikopoulos  
Konstantinos Andrikopoulos

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

**RECEIVED**

Sir:

AUG 03 2000

Transmitted herewith are the following documents:

**TECH CENTER 1600/2900**

- ☒ Request for Corrected Filing Receipt
- ☒ Filing Receipt with changes in Red
- ☒ Return Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617)720-3500, Boston, Massachusetts.

No fee is required. If any fee is determined to be due by the Examiner, the Examiner is authorized to charged the appropriate fee to the account of the undersigned, Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully Submitted,

Helen C. Lockhart

Helen C. Lockhart (Reg. No.39,248)  
WOLF, GREENFIELD & SACKS, P.C.  
600 Atlantic Avenue  
Boston, MA 02210-2211  
(617)720-3500

Attorney's Docket No.: I0277/7007  
Date: July 18, 2000  
xNDD



ATTORNEY'S DOCKET NO. 10277/7007 (HCL//KA)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Martha K. Newell, et al.  
Serial No. 09/470,494  
Filed: December 22, 1999  
For: USE OF CD40 ENGAGEMENT TO ALTER T CELL RECEPTOR USAGE  
Examiner: not yet assigned  
Art Unit: 1643

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231, on the 18<sup>th</sup> day of July, 2000.

Konstantinos Andrikopoulos  
Konstantinos Andrikopoulos

Application Processing Division's  
Customer Correction Branch  
ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

AUG 03 2000

**REQUEST FOR CORRECTED FILING RECEIPT**

Sir:

Applicant respectfully requests a corrected filing receipt for the above-identified provisional patent application. Specifically, the title for this application should be "USE OF CD40 ENGAGEMENT TO ALTER CELL RECEPTOR USAGE".

Please correct the enclosed filing receipt with the desired changes as marked in red ink, and forward at your earliest convenience. As this was not applicant's error no fee is enclosed. If, however, it is determined that a fee is due please charge the account of the undersigned, Deposit Account No. 23/2825. Should any questions arise concerning the foregoing, please contact the undersigned at the telephone number listed below.

Respectfully Submitted,

Helen C. Lockhart (Reg. No. 39,248)  
WOLF, GREENFIELD & SACKS, P.C.  
600 Atlantic Avenue  
Boston, MA 02210-2211  
(617)720-3500

Attorney's Docket No.: 10277/7007  
Date: July 18, 2000  
xNDD

## FILING RECEIPT



\*OC000000005198119\*

**COPY**UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: ASSISTANT SECRETARY AND  
COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

HK

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/470,494	12/22/1999	1643	562	10277/7007	5	103	6

WOLF GREENFIELD & SACKS P C  
600 ATLANTIC AVENUE  
BOSTON, MA 02210

HK

File Folder	<input checked="" type="checkbox"/>	Initials
ECB	<input checked="" type="checkbox"/>	
Docket Entry	<input checked="" type="checkbox"/>	
Docket Cross (if)	<input checked="" type="checkbox"/>	
Order Copies	<input type="checkbox"/>	
Annuities	<input type="checkbox"/>	
Confirmation	<input type="checkbox"/>	

DOCKETED

JUL 06 2000

Date Mailed: 06/23/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

## Applicant(s)

MARTHA K. NEWELL, COLORADO SPRING, CO ;  
EVAN NEWELL, COLORADO SPRING, CO ;  
DAVID WAGNER, DENVER, CO ;

## Continuing Data as Claimed by Applicant

## Foreign Applications

If Required, Foreign Filing License Granted 02/10/2000

\*\* SMALL ENTITY \*\*

## Title

**ALTER**  
USE OF CD40 ENGAGEMENT TO ~~ALTER~~ T CELL RECEPTOR USAGE

## Preliminary Class

435

Data entry by : MARTIN, DIANE

Team : OIPE

Date: 06/23/2000



**FILE COPY**

Bib Data Sheet

**UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office**Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

<b>SERIAL NUMBER</b> 09/470,494	<b>FILING DATE</b> 12/22/1999 <b>RULE</b> -	<b>CLASS</b> 435	<b>GROUP ART UNIT</b> 1643	<b>ATTORNEY DOCKET NO.</b> 10277/7007
------------------------------------	---	---------------------	-------------------------------	--

**APPLICANTS**

MARTHA K. NEWELL, COLORADO SPRING, CO ;  
 EVAN NEWELL, COLORADO SPRING, CO ;  
 DAVID WAGNER, DENVER, CO ;

**RECEIVED****\*\* CONTINUING DATA \*\*\*\*\***

AUG 03 2000

**\*\* FOREIGN APPLICATIONS \*\*\*\*\***

TECH CENTER 1600/2900

**IF REQUIRED, FOREIGN FILING LICENSE GRANTED \*\* SMALL ENTITY \*\*****\*\* 02/10/2000**

Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no	<b>STATE OR COUNTRY</b> CO	<b>SHEETS DRAWING</b> 5	<b>TOTAL CLAIMS</b> 103	<b>INDEPENDENT CLAIMS</b> 6
35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged	Examiner's Signature	Initials		

**ADDRESS**

WOLF GREENFIELD & SACKS P C  
 600 ATLANTIC AVENUE  
 BOSTON ,MA 02210

**TITLE**

USE OF CD40 ENGAGEMENT TO ALTER T CELL RECEPTOR USAGE

<b>FILING FEE RECEIVED</b> 562	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees
		<input type="checkbox"/> 1.16 Fees ( Filing )
		<input type="checkbox"/> 1.17 Fees ( Processing Ext. of time )
		<input type="checkbox"/> 1.18 Fees ( Issue )
		<input type="checkbox"/> Other _____
		<input type="checkbox"/> Credit